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PTO/SB/21 (08-00)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/766,315	
	Filing Date	January 27, 2004	
	First Named Inventor	Jones, Emerson P.	
	Group Art Unit	3622	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	12	Attorney Docket Number	G08.070

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input checked="" type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Notice to Filing Missing Parts, Credit Card Authorization Form for \$1,090.00 and Acknowledgement Postcard.
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Kurt M. Maschoff
Signature	
Date	August 4, 2004

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MS MISSING PARTS , Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: August 4, 2004			
Type or printed name	Jill Holme		
Signature		Date	August 4, 2004

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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: JONES et al.

Application Serial No.: 10/766,315

Filing Date: 1/27/2004

For: METHOD AND APPARATUS FOR
CONDUCTING A TRANSACTION

)
)
) Examiner: Not yet assigned

)
) Group Art Unit: 3622

)
) Docket No.: G08.070

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 4, 2004.

Dated: August 4, 2004

By: 

Jill Holme

MS Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS
(Large Entity)**

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed are the following documents and fees to complete the filing requirements of the above-identified application:

1. Copy of Notice of Missing Parts.
2. Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventors executed by signing the enclosed Declaration.
3. Credit Card Payment Form for Fees as set forth below.
4. Acknowledgement Postcard.

FEE CALCULATION

For	No. Filed	No. Allowed	No. Extra	Rate	Fee
Total Claims	21	- 20	1	\$18.00	\$18.00
Indep. Claims	5	- 3	2	\$86.00	\$172.00
Multiple Dependent Claims (If applicable, please add \$ 290.00)					\$0.00
Basic Filing Fee					\$770.00
Missing Parts Surcharge					\$130.00
No Petition for Extension of Time is Required					\$0.00
TOTAL FILING FEES					\$1,090.00

A Credit Card Payment Form in the amount of **\$1,090.00** is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1852 as described below. A duplicate copy of this sheet is enclosed.

- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,



August 4, 2004
Date

Kurt M. Maschoff
Registration No. 38,235
Buckley, Maschoff & Talwalkar LLC
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New Canaan, CT 06840
(203) 972-0081

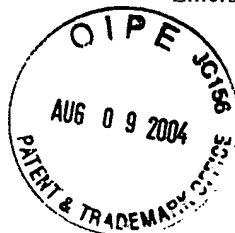


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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/766,315	01/27/2004	Emerson P. Jones	G08.070

28062
 BUCKLEY, MASCHOFF, TALWALKAR LLC
 5 ELM STREET
 NEW CANAAN, CT 06840



CONFIRMATION NO. 1208

FORMALITIES LETTER



OC000000012979317

Date Mailed: 06/17/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$190 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1090 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$190

08/09/2004 ZJUHR1 00000036 10766315

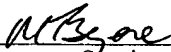
01 FC:1001
 02 FC:1051
 03 FC:1202
 04 FC:1201

770.00 OP
 130.00 OP
 18.00 OP
 172.00 OP

- \$172 for 2 independent claims over 3.
- \$18 for 1 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE